

## The Number of Distinct Subsums of $\sum_1^N 1/i$

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**Abstract.** In this paper we improve the lower bounds for the number,  $S(N)$ , of distinct values obtained as subsums of the first  $N$  terms of the harmonic series. We obtain a bound of the form

$$S(N) \geq e^{\left(\frac{N \log 2}{\log N} \prod_3^{k+1} \log_j N\right)}$$

whenever  $\log_{k+1} N \geq k + 1$ , for  $k \geq 3$ . Slight modifications are needed for  $k = 1, 2$ . We begin by discussing the number  $Q_k(N)$  of integers  $n \leq N$ ,  $n = p_1 p_2 \cdots p_k$ , where  $p_i > e^{\alpha p_{i-1}}$ ,  $i = 2, \dots, k$ . We prove that

$$\frac{N}{\log N} \prod_{i=1}^{k+1} \log_i N \leq Q_k(N) \leq \left(1 + \frac{k}{\log_{k+1} N}\right) \frac{N}{\log N} \prod_{i=3}^{k+1} \log_i N.$$

This bound is valid for  $\log_{k+1} N \geq k + 1$  and for  $1 \leq \alpha \leq 2(1 - e_2(4)/e_3(4))$ . The symbols  $\log_i x$  and  $e_i(x)$  are defined by

$$\begin{aligned} e_0(x) &= x, & e_{i+1}(x) &= e^{e_i(x)}, \\ \log_0 x &= x, & \log_{i+1} x &= \log(\log_i x), \end{aligned}$$

where  $\log x$  denotes the logarithm to the base  $e$ .

In this paper we improve the lower bounds given in [2] and [3] for the number,  $S(N)$ , of distinct values obtained as subsums of the first  $N$  terms of the harmonic series. The estimates in [1], [2] and [3] were derived because the upper bound was needed for lower estimates of the denominators of Egyptian fractions. In this paper we concentrate on the lower bounds. We obtain a bound of the form

$$S(N) \geq e^{\left(\frac{N \log 2}{\log N} \prod_3^{k+1} \log_j N\right)}$$

whenever  $\log_{k+1} N \geq k + 1$ , for  $k \geq 3$ . Slight modifications are needed for  $k = 1, 2$ ; see Corollaries 1, 2, 3 and 4 for more details. In order to do this we begin by discussing the number  $Q_k(N)$  of integers  $n \leq N$ ,  $n = p_1 p_2 \cdots p_k$  where  $p_i > e^{\alpha p_{i-1}}$ ,  $i = 2, \dots, k$ . We first prove that

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$$\frac{N}{\log N} \prod_{i=3}^{k+1} \log_i N \leq Q_k(N) \leq \left(1 + \frac{k}{\log_{k+1} N}\right) \frac{N}{\log N} \prod_{i=3}^{k+1} \log_i N.$$

This bound is valid for  $\log_{k+1} N \geq k + 1$  and for  $1 \leq \alpha \leq 2(1 - e_2(4)/e_3(4))$ . The bounds on  $N$  and  $\alpha$  are for convenience in evaluating the range of validity and the constants in the inequality, not for essential reasons. The symbols  $\log_i x$  and  $e_i(x)$  are defined by

$$\begin{aligned} e_0(x) &= x, & e_{i+1}(x) &= e^{e_i(x)}, \\ \log_0 x &= x, & \log_{i+1} x &= \log(\log_i x), \end{aligned}$$

where  $\log x$  denotes the logarithm to the base  $e$ .

In fact we prove the following slightly stronger version.

**THEOREM.** *If  $1 \leq \alpha \leq 2(1 - e_2(4)/e_3(4)) = 1.999 \dots$ , then:*

*For  $k = 1$ ,*

$$\frac{N}{\log N} \left(1 + \frac{1}{2 \log N}\right) \leq Q_1(N) = \pi(N) \leq \frac{N}{\log N} \left(1 + \frac{3}{2 \log N}\right),$$

*where the lower bound holds for  $N \geq 59$  and the upper bound for  $N \geq 2$ ;  $Q_1(N) = 0$  for  $N < 2$ .*

*For  $k = 2$ ,*

$$\frac{N}{\log N} \left(\log_3 N + \frac{1}{11}\right) \leq Q_2(N) \leq \frac{N}{\log N} (\log_3 N + 2)$$

*where the lower bound holds for  $\log_3 N \geq 2$  and the upper bound for  $N \geq e_3(-2) = 3.1 \dots$  (i.e.,  $\log_3 N \geq -2$ );  $Q_2(N) = 0$  for  $N < 22$ .*

*For  $k \geq 3$ ,*

$$\frac{N}{\log N} \prod_3^{k+1} \log_i N \leq Q_k(N) \leq \frac{N(\log_{k+1} N + k)}{\log N} \prod_3^k \log_i N,$$

*where the lower bound holds for  $\log_{k+1} N \geq k + 1$  and the upper bound holds for  $N \geq e_{k+1}(-2)$ ;  $Q_k(N) = 0$  for  $N \leq e_{k+1}(-.13 \dots) = e_{k-2}(11)$ .*

*Proof.* *Case 1.*  $k = 1$ . In this case  $Q_1(N) = \pi(N)$ , so that the result is well known, see [4, p. 69].

*Case 2.*  $k = 2$ . Let  $Q_2(N)$  be those integers counted by  $Q_2(N)$ ; namely

$$Q_2(N) = \{pq : p, q \text{ prime}, e^{\alpha p} < q, pq \leq N\}.$$

**The Upper Bound for  $Q_2(N)$ .** Let  $L$  be the number which satisfies  $e^{\alpha L} \cdot L = N$ . It follows that

$$(1) \quad Q_2(N) = \sum_{2 \leq p < L} (\pi(N/p) - \pi(e^{\alpha p})),$$

where  $p$  runs through the primes in the indicated interval. We see from the conditions on  $\alpha$  that

$$(2) \quad L \leq \log N.$$

We thus deduce that

$$(3) \quad Q_1(N) \leq \sum_{2 \leq p \leq \log N} \frac{N}{p \log N/P} \left( 1 + \frac{3}{2 \log N/P} \right).$$

Since  $\log N/P$  is almost constant on the interval under consideration, we obtain

$$(4) \quad Q_2(N) \leq \frac{N}{\log(N/\log N)} \left( 1 + \frac{3}{2 \log(N/\log N)} \right) \sum_2^{\log N} \frac{1}{p}.$$

The value of  $\Sigma 1/p$  is well known, for example see [4, p. 70]. Thus we obtain

$$(5) \quad Q_2(N) \leq \frac{N}{\log N} \left( 1 + \frac{2 \log_2 N}{\log N} \right) \left( \log_3 N + B + \frac{1}{\log_2^2 N} \right),$$

which is valid for  $N \geq 3$  and where  $B = .26149 \dots$ . If  $N \geq e^4$ , i.e.,  $\log_3 N \geq \log_2 4 > .326 \dots$ , then this can be simplified to

$$(6) \quad Q_2(N) \leq N(\log_3 N + 2)/\log N$$

If  $22 \leq N \leq e^4 < 55$ , then  $Q_2(N) \leq Q_2(54) = 5$  together with  $\log_3 N \geq 0$  gives the upper bound of the theorem for  $k = 2$ .

**The Lower Bound for  $Q_2(N)$ .** From the definition of  $Q_2(N)$  we obtain

$$(7) \quad Q_2(N) = \sum_{1 \leq p \leq N} \sum_{1 \leq q \leq M} 1,$$

where  $p$  and  $q$  run over primes in the indicated intervals and  $M = \min\{N/p, \log p/\alpha\}$ . Let  $L$  be such that

$$(8) \quad \alpha N = L \log L,$$

so that  $N/\log N < L < eN/\log N$ , then

$$(9) \quad Q_2(N) = \sum_{1 \leq p \leq L} \sum_{1 \leq q \leq (\log p)/\alpha} 1 + \sum_{L < p \leq N} \sum_{1 \leq q \leq N/P} 1.$$

Let  $\Sigma_1$  denote the first double sum and  $\Sigma_2$  the second. Since  $\Sigma_1 \geq 0$  we can obtain a lower bound for  $Q_2(N)$  by obtaining a lower bound for  $\Sigma_2$ .

**The Bounds for  $\Sigma_2$ .** From the definition of  $\Sigma_2$  in (9) we obtain

$$(10) \quad \Sigma_2 = \sum_{L < p \leq L'} \pi(N/P) + \sum_{L' < p \leq N/2} \pi(N/P),$$

where  $L < L' = N/p_l$ ,  $p_l$  is the  $l$ th prime with  $l \geq 7$  to be determined later. We note that

$$(11) \quad \sum_{L' < p \leq N/2} \pi(N/p) = \sum_{2 \leq p \leq p_1} \pi(N/p) - l\pi(N/p_1).$$

We shall frequently need to estimate sums of the above type where the index of the summation range over an interval of primes. There is a standard technique for converting the sum to a Stieltjes integral, with respect to  $d\vartheta(x)$ , integrating by parts twice with  $\vartheta(x)$  approximated by  $x$  in between to obtain the following well-known lemma.

LEMMA. *If  $f(x) \geq 0$  and  $f'(x)$  exists and is continuous and  $0 < a < b$*

$$\sum_{a < p \leq b} f(p) = \frac{f(x)(\vartheta(x) - x)}{\log(x)} \Big|_a^b + \int_a^b \frac{f(x)}{\log x} dx - \int_a^b (\vartheta(x) - x) \frac{d}{dx} \left( \frac{f(x)}{\log x} \right) dx.$$

We recall from [4] the estimates

$$(12) \quad |\vartheta(x) - x| \leq x/(2 \log x) \quad \text{for } x \geq 563$$

and

$$(13) \quad \vartheta(x) - x \leq x/(2 \log x) \quad \text{for } x > 1$$

and the estimates

$$(14) \quad \frac{x}{\log x} \left( 1 + \frac{1}{2 \log x} \right) < \pi(x) \quad \text{for } x \geq 59,$$

$$(15) \quad \frac{x}{\log x} < \pi(x) \quad \text{for } x \geq 17,$$

and

$$(16) \quad \pi(x) < \frac{x}{\log x} \left( 1 + \frac{3}{2 \log x} \right) \quad \text{for } x > 1.$$

We use (15) which holds for  $N \geq 73$  and the lemma to estimate the first sum of (10); thus

$$(17) \quad \sum_{L < p \leq L'} \frac{N}{p \log N/p} = N \left\{ \frac{\vartheta(x) - x}{x \log x \log N/x} \Big|_L^{L'} + \int_L^{L'} \frac{dx}{x \log x \log N/x} - \int_L^{L'} (\vartheta(x) - x) \frac{d}{dx} \left( \frac{1}{x \log x \log N/x} \right) dx \right\}.$$

We next show that

$$(18) \quad \left| \int_L^{L'} (\vartheta(x) - x) \frac{d}{dx} \left( \frac{1}{x \log x \log N/x} \right) dx \right| \leq \frac{\log_3 N}{2 \log^2 N}.$$

To do this we note that

$$\left| \frac{d}{dx} \left( \frac{1}{x \log x \log N/x} \right) \right| \leq \frac{1}{x^2 \log x \log N/x}$$

and that the estimate of (12),  $|\vartheta(x) - x| < x/2 \log x$  are both valid for the range  $N/\log N \leq x \leq N/2$  when  $N \geq e^{8.5}$ . Thus

$$(19) \quad \left| \int_L^{L'} (\vartheta(x) - x) \frac{d}{dx} \left( \frac{1}{x \log x \log N/x} \right) dx \right| \leq \int_L^{L'} \frac{dx}{2x \log^2 x \log N/x}.$$

Since  $1/2 \log^2 x$  is almost constant on the interval involved it can be brought out of the integral and replaced by  $1/2 \log^2 L$ ; what remains is the derivative of  $-\log_2 N/x$ , and we get

$$(20) \quad \int_L^{L'} \frac{dx}{2x \log^2 x \log N/x} \leq \frac{1}{2 \log^2 L} (-\log_2 N/x) \Big|_L^{L'},$$

which yields (18).

We next evaluate the first integral in (17) by taking the  $1/\log x$  outside the integral as  $1/\log L'$  and integrating the rest exactly to obtain

$$(21) \quad \frac{\log_3 N}{\log N} \left( 1 + \frac{\log_2 p_l}{\log_3 N} + \frac{\log_2 p_l}{\log N} \right) \leq \int_L^{L'} \frac{dx}{x \log x \log N/x}.$$

We next note that

$$(22) \quad \left| \left( \frac{\vartheta(x) - x}{x \log x \log N/x} \right) \Big|_L^{L'} \right| \leq \frac{1}{2 \log^2 L \log N/L} + \frac{1}{2 \log^2 L' \log N/L'} \leq \frac{1}{2 \log^2 N}.$$

Using (15) and (16), (11) and  $N/p_l \geq 17$ , which holds since  $p_l < \log N$  and  $\log_3 N \geq 2$ , we deduce

$$(23) \quad \begin{aligned} \sum_{N/p_l < p \leq N/2} \pi \left( \frac{N}{p} \right) &= \sum_{2 \leq p \leq p_l} \pi \left( \frac{-N}{p} \right) - l \pi \left( \frac{N}{17} \right) \\ &\geq \frac{N}{\log N/p_l} \left( \sum_{2 \leq p \leq p_l} \frac{1}{p} - \frac{l}{p_l} \left( 1 + \frac{3}{2 \log N/p_l} \right) \right). \end{aligned}$$

If  $l/p_l < B$ , then using  $N \geq e_3(2) > e^{1600}$  and  $p_l < \log N$ ,

$$(24) \quad \sum_{N/p_l \leq p \leq N/2} \pi \left( \frac{N}{p} \right) \geq \frac{N}{\log N} \left( \log_2 p_l + B - \frac{1}{2 \log^2 p_l} - \frac{l}{p_l} + \frac{\log p_l}{\log N} \right).$$

Now with the aid of (10), (11) and (24) as well as (17), (21), (22) and (24) we obtain for  $\log_3 N \geq 2$  and  $l/p_l \leq B$ ,

$$\begin{aligned}
 \Sigma_2 \geq & \frac{N \log_3 N}{\log N} \left( 1 - \frac{\log_2 p_l}{\log_3 N} + \frac{\log p_l}{\log N} - \frac{1}{2 \log N \log_3 N} \right. \\
 (25) \quad & \left. - \frac{1}{2 \log N} + \frac{\log_2 p_l}{\log_3 N} + \frac{B - l/p_l}{\log_3 N} \right. \\
 & \left. - \frac{1}{2 \log^2 p_l \log_3 N} + \frac{\log p_l}{\log_3 N \log N} \right).
 \end{aligned}$$

Taking  $p_l = 1597$ ,  $l = 251$  so that all the previous conditions are satisfied and using  $B = .261 \dots$ ,  $l/p_l = .157 \dots$ ,  $1/2 \log^2 p_l < .0005$  and  $\log_3 N \geq 2$ , we deduce

$$(26) \quad \Sigma_2 \geq \frac{N \log_3 N}{\log N} \left( 1 + \frac{1}{11 \log_3 N} \right).$$

Since  $Q_2(N) \geq \Sigma_1 + \Sigma_2$  and by (13),  $\Sigma_1 \geq 0$ , (26) implies the desired lower bound of the theorem for the case  $k = 2$ .

*Case 3.*  $k \geq 3$ . We now proceed by induction on  $k$ . Suppose  $k > 2$  and that for  $2 \leq k' < k$  the theorem is true for  $k$  replaced by  $k'$ ; we now show it is true for  $k$ .

**The Lower Bound for  $Q_k(N)$ .** Let  $Q_k(N)$  denote the set of integers counted by  $Q_k(N)$ . As before let  $L = N/\log N$ . We claim that

$$(27) \quad Q_k(N) \supset \bigcup_{L \leq p < N} \{qp: q \in Q_{k-1}(N/p)\}$$

where the union is disjoint. The disjointness follows from the fact that  $p \geq L = N/\log N > \log N > q$  and thus distinct choices of  $p$  and  $q$  yield distinct products. To see the containment we note that since  $k \geq 3$ ,  $q$  must have at least two prime factors, so that the largest prime factor of  $q$ , say  $p'$ , is at most  $N/2p \leq \log N/2$ ; thus

$$(28) \quad \log p \geq \log N - \log_2 N \geq \alpha \left( \frac{\log N}{2} \right) \geq \alpha p',$$

so that  $qp$  is one of the integers in  $Q_k(N)$ .

The containment (27) leads immediately to the inequality

$$(29) \quad Q_k(N) \geq \sum_{L \leq p \leq L'} Q_{k-1}(N/p),$$

where  $L'$  can have any value satisfying  $L' \geq L$ . We define  $L'$  by

$$(30) \quad L' = N/e((\log_2 N)^{1/\log_4 N}).$$

With this choice we can show that

$$(31) \quad \log_k N/p \geq \log_k N/L' \geq (\log_{k+1} N)(1 - (\log_5 N)/\log_4 N).$$

For  $k > 3$ , (31) yields

$$(32) \quad \log_k N/p \geq k;$$

while for  $k = 3$  (31) yields

$$(33) \quad \log_3 N/p \geq 2,$$

where we have used  $\log_{k+1} N \geq k + 1$ .

From (32) and (33) we see that the hypothesis of the inductively assumed theorem is satisfied for estimating the summands  $Q_{k-1}(N/p)$  in (29).

We define  $\tilde{Q}_k(x)$  by

$$(34) \quad \tilde{Q}_k(x) = \frac{x}{\log x} \prod_3^{k+1} \log_i x;$$

thus in the range of summation in (29) by the inductive hypothesis  $\tilde{Q}_{k-1}(N/p) \leq Q_{k-1}(N/p)$ .

From the lemma we get

$$(35) \quad Q_k(N) \geq \frac{\vartheta(x) - x}{\log x} \tilde{Q}_{k-1}(N/x) \Big|_L^{L'} + \int_L^{L'} \frac{\tilde{Q}_k(N/x)}{\log x} dx - \int_L^{L'} (\vartheta(x) - x) \frac{d}{dx} \frac{\tilde{Q}_k(N/x)}{\log x} dx.$$

We first obtain lower estimates for the first and last terms in the RHS of (35) and estimate the middle term, which is the main term, last. By (12), the estimate  $|\vartheta(x) - x| < x/2 \log x$  is valid in the range under consideration. Since  $x/2 \log x$  is increasing in  $x$  while  $\tilde{Q}_{k-1}(N/x)$  is decreasing, we see that

$$(36) \quad \left| \frac{\vartheta(x) - x}{\log x} \tilde{Q}_{k-1}(N/x) \Big|_L^{L'} \right| \leq 2 \frac{N}{2 \log^2 N} \cdot \tilde{Q}_{k-1}(\log N).$$

A straightforward calculation yields

$$(37) \quad \left| \frac{d}{dx} \left( \frac{\tilde{Q}_{k-1}(N/x)}{\log x} \right) \right| \leq \frac{\tilde{Q}_{k-1}(N/x)}{x \log x}.$$

Thus the absolute value of the last term of the RHS of (35) is bounded above by

$$(38) \quad \int_L^{L'} \frac{\tilde{Q}_{k-1}(N/x)}{\log^2 x} dx \leq \frac{1}{\log^2 L} \int_L^{L'} \tilde{Q}_{k-1}(N/x) dx.$$

Similarly for the main term

$$(39) \quad \int_L^{L'} \frac{\tilde{Q}_{k-1}(N/x)}{\log x} dx \geq \frac{1}{\log L'} \int_L^{L'} \tilde{Q}_{k-1}(N/x) dx.$$

Putting together (35), (36), (38), and (39), we obtain

$$(40) \quad Q_k(N) \geq \left( \frac{1}{\log L'} - \frac{1}{\log^2 L} \right) \int_L^{L'} \tilde{Q}_{k-1}(N/x) dx \\ - \frac{N}{\log^2 N} \tilde{Q}_{k-1}(\log N).$$

We can evaluate the integral in (40) by parts with  $u = \prod_3^k \log_j(N/x)$  and  $v = -\log_2(N/x)$  to obtain

$$(41) \quad \int_L^{L'} \tilde{Q}_{k-1}(N/x) dx = -N \log_2 N/x \prod_3^k \log_j N/x \Big|_L^{L'} \\ + \int_L^{L'} \tilde{Q}_{k-1}(N/x) \left( \sum_{i=3}^k \left( \prod_{j=3}^i \log_j N/x \right)^{-1} \right) dx.$$

Since

$$\sum_{i=3}^k \left( \prod_{j=3}^i \log_j N/x \right)^{-1} \geq \frac{1}{\log_3 N/x},$$

(41) leads to

$$(42) \quad \int_L^{L'} \tilde{Q}_{k-1}(N/x) dx \geq -N \prod_2^k \log_j N/x \Big|_L^{L'} \\ + \int_L^{L'} \tilde{Q}_{k-1}(N/x) / \log_3 N/x dx.$$

The last integral can be approximated by substituting for  $\tilde{Q}_{k-1}(N/x)$  and simplifying to get

$$(43) \quad \int_L^{L'} \tilde{Q}_{k-1}(N/x) / \log_3 N/x dx = \int_L^{L'} \frac{N}{x \log N/x} \prod_4^k \log_j N/x dx \\ \geq N \prod_4^k \log_j N/L' \cdot \int_L^{L'} \frac{1}{x \log N/x} dx \\ = N \prod_4^k \log_j N/L' (-\log_2 N/x \Big|_L^{L'}) \\ = N \cdot \prod_4^k \log_j N/L' \left( \log_3 N - \frac{\log_3 N}{\log_4 N} \right).$$

Substituting this for the last term in (42) while evaluating the first and combining terms, we get



$$(44) \quad \int_L^{L'} \tilde{Q}_{k-1}(N/x) dx = N \left\{ \prod_3^{k+1} \log_j N + \left( \prod_4^k \log_j N/L' \right) \log_3 N \log_4 N \left( \frac{\log_5 N - 1}{\log_4^2 N} \right) \right\}.$$

Since  $1/\log L - 1/\log^2 L' > 1/\log N$ , we get from (40), and (44) that

$$(45) \quad \begin{aligned} Q_k(N) &\geq \frac{N}{\log N} \prod_3^{k+1} \log_j N \\ &+ \frac{N}{\log N} \log_3 N \log_4 N \prod_4^k \log_j N/L' \left( \frac{\log_5 N - 1}{\log_4^2 N} \right) \\ &- \frac{N}{\log N} \cdot \frac{1}{\log_2 N} \prod_4^{k+1} \log_j N. \end{aligned}$$

Since

$$\log_4 N/L' = \log_5 N + \log \left( 1 - \frac{\log_5 N}{\log_4 N} \right) \geq \log_5 N \left( 1 - \frac{2}{\log_4 N} \right),$$

we see that the sum of the last two terms is positive. The desired lower bound follows.

**The Upper Bound for  $Q_k(N)$ .** We may suppose  $N \geq e_{k-2}(11)$ , for otherwise  $Q_k(N) = 0$ .

We begin by establishing the following inequality:

$$(46) \quad \begin{aligned} Q_k(N) &\leq \sum_{M \leq p \leq L} Q_{k-1}(\log p \log_2^2 p) + \sum_{L < p \leq L'} Q_{k-1}(N/p) \\ &+ \sum_{L' < p \leq N/N_0} Q_{k-1}(N/p) = \Sigma_1 + \Sigma_2 + \Sigma_3, \end{aligned}$$

where  $M = e_{k-2}(11)$ , a lower bound for the largest prime factor of elements of  $Q_{k-1}$ ,  $L = N/(\log N \cdot \log_2^2 N)$  and  $L' = \min\{N/\log_3 N, N/N_0\}$ , where  $N_0$  is the smallest element in  $Q_{k-1}$ . To see that (46) holds, consider  $n \in Q_k(N)$ , factor  $n = pq$  where  $p$  is the largest prime factor, then  $n$  is counted by the appropriate sum depending on the range into which  $p$  falls. We see that in the first sum since  $q = p_1 p_2 \cdots p_{k-1}$  with  $p_{k-1} \leq \log p/\alpha$  and  $p_i \leq \log p_{i+1}/\alpha$ ,  $1 \leq i < k-1$ ,  $q \leq \log p \log_2^2 p \cdots \log_{k-1} p \leq \log p \log_2^2 p$ . The last two sums follow from the fact that  $pq = n \leq N$  and thus  $q \leq N/p$ .

For the remainder of the proof we suppose that  $L' = N/\log_3 N$ , for otherwise the last sum in (46) is zero and the range on the middle sum is shortened. In either case the inductive assumption applies to each  $Q_{k-1}(N/p)$  of the middle sum.

To estimate  $\Sigma_1$  we note that there are at most  $\pi(L)$  summands in which each is at

most  $Q_{k-1}(\log L \log_2^2 L)$  using the estimate  $\pi(x) \leq 2x/\log x$  and the inductive estimate for  $Q_{k-1}$  we obtain

$$\begin{aligned}
 \Sigma_1 &\leq \frac{2L}{\log L} \cdot \frac{\log L}{\log_2 L} (\log_k L + k - 1) \prod_3^{k-1} \log_j L \\
 (47) \quad &\leq \frac{2N}{\log N} \cdot \frac{1}{\log_2 N/\log N} (\log_k N + k - 1) \prod_3^{k-1} \log_j N \\
 &\leq \frac{3}{\log_2 N} \cdot \frac{N}{\log N} (\log_k N + k - 1) \prod_3^{k-1} \log_j N.
 \end{aligned}$$

We next consider  $\Sigma_3$ . There are at most  $\pi(N/22)$  summands each of size at most  $Q_{k-1}(N/L') = Q_{k-1}(\log_3 N)$ . Hence we conclude

$$\begin{aligned}
 \Sigma_3 &\leq \frac{2N}{22 \log N/22} \cdot \frac{\log_3 N}{\log_4 N} (\log_{k+3} N + k - 1) \prod_6^{k+2} \log_j N \\
 (48) \quad &\leq \frac{1}{10 \log_4^2 N} \frac{N}{\log N} (\log_{k+1} N + k - 1) \prod_3^k \log_j N.
 \end{aligned}$$

We now turn our attention to  $\Sigma_2$  which yields the main term. By use of the inductive hypothesis, the choice  $L = N/\log N$ , the estimate  $\log_j(\log x \log_2^2 x) \leq (\log_{j+1} x)(1 + 2/\log_2 x)$ , for  $j \geq 3$ , and the lemma we deduce

$$\begin{aligned}
 \Sigma_2 &= \sum_{L < p \leq L'} \frac{N}{p \log N/p} (\log_k N/p + k - 1) \prod_3^{k-1} \log_j N/p \\
 &\leq N(\log_{k+1} N + k - 1) \left(1 + \frac{2}{\log_2 N}\right)^k \prod_4^k \log_j N \sum_{L < p \leq L'} \frac{1}{p \log N/p} \\
 (49) \quad &\leq N(\log_{k+1} N + k - 1) \left(1 + \frac{2}{\log_2 N}\right)^k \prod_4^k \log_j N \\
 &\quad \cdot \left\{ \int_L^{L'} \frac{dx}{x \log x \log N/x} + \int_L^{L'} (\vartheta(x) - x) \frac{d}{dx} \left( \frac{1}{x \log x \log N/x} \right) dx \right. \\
 &\quad \left. + \frac{\vartheta(x) - x}{x \log x \log N/x} \Big|_L^{L'} \right\}.
 \end{aligned}$$

The last terms in the braces have been evaluated earlier in formulae (18) and (22), where in those formulae slightly different values of  $L$  and  $L'$  were used. The  $1/\log x$  can be taken outside the integral as  $1/\log L$  and the rest integrated exactly to yield

$$\begin{aligned}
 \Sigma_2 &\leq N(\log_{k+1} N + k - 1) \left(1 + \frac{2}{\log_2 N}\right)^k \prod_4^k \log_j N \\
 &\cdot \left\{ \frac{1}{\log L} \log_2 N/x \Big|_L^{L'} + \frac{\log_3 N}{2 \log^2 N} + \frac{1}{2 \log^2 N} \right\} \\
 (50) \quad &\leq \frac{N}{\log N} (\log_{k+1} N + 2) \prod_3^k \log_3 N \\
 &\cdot \left\{ \left(1 + \frac{2}{\log_2 N}\right)^k \left( \left(1 + \frac{2 \log_2 N}{\log N}\right) \left(1 - \frac{\log_5 N}{\log_3 N}\right) \right. \right. \\
 &\quad \left. \left. + \frac{1}{2 \log N} + \frac{1}{2 \log N \log_3 N} \right) \right\}.
 \end{aligned}$$

Recalling that  $L' = N/\log_3 N$  or, equivalently  $\log_3 N \geq N_0 \geq 22$ , we deduce that  $\log_5 N \geq 1$ . Hence we see that the quantity in the braces is less than 1.

It follows from (50), (48) and (47) that

$$\begin{aligned}
 (51) \quad Q_k(N) &\leq \frac{N}{\log N} (\log_{k+1} N + k - 1) \prod_3^k \log_0 N \left\{ 1 + \frac{1}{10 \log_4^2 N} + \frac{3}{\log_2 N} \right\} \\
 &\leq \frac{N}{\log N} (\log_{k+1} N + k) \prod_3^k \log_j N,
 \end{aligned}$$

which is the desired upper bound.

**The Number of Distinct Subsums of  $\Sigma_1^N 1/i$ ; a Lower Bound.** Let  $Q(N) = \bigcup_{k=1}^{\infty} Q_k(N)$  and  $Q(N) = \Sigma_1^{\infty} Q_k(N)$ , where we have taken  $\alpha = 3/2$  in defining  $Q_k(N)$ . Since for any  $N$  only finitely many  $Q_k(N)$  are nonzero, there is no difficulty with the sum.

In order to relate the problem of distinct values of subsums of  $\Sigma_1^N 1/i$  to the previous problem we first prove the following theorem.

**THEOREM.** *If  $S(N)$  denotes the number of distinct values of  $\Sigma_1^N \epsilon_k/k$  as the  $\epsilon_k$  assume all the  $2^N$  possible combinations with  $\epsilon_k = 0, 1$ , then  $S(N) \geq 2^{Q(N)}$ .*

Before proving the theorem we point out some immediate consequences of this theorem in combination with the previous theorem's lower bounds for  $Q_k(N)$ .

**COROLLARY 1.** *For  $N \geq 2$ ,*

$$S(N) \geq 2^{\pi(N)} \geq e \left( \frac{N \log 2}{\log N} \left( 1 + \frac{1}{2} \log N \right) \right).$$

**COROLLARY 2.** *For  $\log_3 N \geq 2$ ,*

$$S(N) \geq e \left( \frac{N \log 2}{\log N} \left( \log_3 N + \frac{12}{11} + \frac{1}{2 \log N} \right) \right).$$

COROLLARY 3. For  $k \geq 3$  and  $\log_{k+1} N \geq k + 1$ ,

$$S(N) \geq e \left( \frac{N \log 2}{\log N} \prod_3^{k+1} \log_j N \right).$$

It may be noted that these corollaries improve the results on lower bounds for  $S(N)$  obtained in [2] in two ways. The first is that the constant  $1/e$  in the bound in [2] is replaced by the larger  $\log 2(\log_3 N + 12/11 + 1/2 \log N)$  in Corollary 2 and by  $\log 2$  in Corollary 3. The second is the validity of the formula for a given  $k$  is extended to much smaller values of  $N$ .

Combining Corollaries 2 and 3 above with Theorem 3 of [2] we obtain

COROLLARY 4. For  $\log_{2^r} N \geq 1$  and  $r \geq 2$ , choose  $t$  such that  $e_t(1) \geq 2r - t - 1$ . Let  $k = 2r - t - 1$ . Then  $k \geq r$  (equality only for  $r = 2, 3$ ) and

$$e \left( \frac{N \log 2}{\log N} \prod_3^{k+1} \log_j N \right) \leq S(N) \leq e \left( \frac{N \log_r N}{\log N} \prod_3^r \log_j N \right).$$

*Proof of Corollary 4.* From the definition of  $k$  we see that if  $\log_{2^r} N \geq 1$  then  $\log_{k+1} N \geq e_t(1) \geq k$ ; hence Corollary 3 gives the lower bound for  $r \geq 3$ . For  $r = k = 2$  it is easy to see that  $\log_4 N \geq 1$  implies  $\log_3 N \geq 2$ , hence Corollary 2 gives the lower bound. The upper bound is from Theorem 3 of [2]. The comment about equality of  $k$  and  $r$  is a trivial calculation. In fact, for  $r = 4$ ,  $k = 5$ , while for  $r = 5$ ,  $k = 7$ . The corollary is proved.

*Proof of the Theorem.* The idea of the proof is simple. We show that for each sequence  $n_1, n_2, n_3, \dots, n_k$  of distinct elements of  $\mathcal{Q}(N)$  we get a distinct value for  $\sum 1/n_i$ . Since  $n_i \leq N$  and there are  $2^{\mathcal{Q}(N)}$  such sequences, the lower bound follows, if we can show the values are all distinct. Thus the theorem will be established if we prove the following lemma.

LEMMA. Let  $n_1, n_2, \dots, n_k$  and  $m_1, m_2, \dots, m_l$  be two sequences of elements of  $\mathcal{Q}(N)$ ; the elements in each of these sequences being distinct from other elements of that sequence. Then  $\sum 1/n_i = \sum 1/m_i$  if and only if  $k = l$  and, after possibly renumbering,  $n_i = m_i$ ,  $i = 1, 2, \dots, k$ .

*Proof of the Lemma.* We prove the "only if". The "if" half is trivial.

Let  $P$  be the largest prime factor of the product of the  $n_i$  and  $m_i$ . Let  $n_1, n_2, \dots, n_{k'}$  and  $m_1, m_2, \dots, m_{l'}$  be all those  $n_i$  and  $m_i$  in increasing order which have  $P$  as a factor. The proof is by induction on the size of  $P$ .

If  $P = 2$ ,  $n_i, m_i \in \{1, 2\}$  and clearly the distinctness of different sums is true. Similarly for  $P = 3$  when  $n_i, m_i \in \{1, 2, 3\}$ .

We now suppose that  $P \geq 5$  and that for sequences which have only prime factors less than  $P$ , distinct sequences yield distinct values.

Define  $a/b$ , a reduced fraction, by

$$(52) \quad \frac{a}{b} = \sum_1^{k'} \frac{1}{n_i} - \sum_1^{l'} \frac{1}{m_i}.$$

We may assume  $a/b \geq 0$ , since otherwise we may interchange the  $m_i$  and  $n_i$  and proceed.

Let  $n_i = Pn'_i$  and  $m_i = Pm'_i$ ; thus

$$(53) \quad \frac{a}{b} = \frac{1}{P} \left( \sum_1^{k'} \frac{1}{n'_i} - \sum_1^{l'} \frac{1}{m'_i} \right).$$

We next show that

$$(54) \quad k' = l' \quad \text{and} \quad n'_i = m'_i, \quad i = 1, 2, \dots, k'.$$

If  $a = 0$  then the claim follows by induction since the  $n'_i$  and  $m'_i$  have largest prime factor less than  $P$ .

We thus consider the case  $a \neq 0$  and derive a contradiction.

Since the  $n_i$  and  $m_i$  are in  $Q(N)$  and  $P$  was the largest prime factor if we choose  $Q$  to be the largest prime such that  $e^{3Q/2} < P$ , then we know from the definition of  $Q(N)$  that no prime factor of any  $n'_i$  or  $m'_i$  exceeds  $Q$ . Since all the  $n_i$  and  $m_i$  are squarefree, we see that  $d = \prod_{P < Q} P = e^{\vartheta(Q)}$  is a common multiple for the  $n'_i$  and  $m'_i$ . Thus

$$(55) \quad \sum_1^{k'} \frac{1}{n'_i} - \sum_1^{l'} \frac{1}{m'_i} = \frac{c}{d}$$

for some positive integer  $c$ . Since the largest prime factor of the  $n'_i$  and  $m'_i$  is at most  $Q$  and the  $n'_i$  and  $m'_i$  are in  $Q(N)$ , we see that  $Q \log Q \log_2 Q \cdots \log_r Q \geq n_i, m_i$  where  $r$  is chosen so that  $e^2 > \log_r Q \geq 2$ . Thus  $c/d \leq \Sigma_1^{Q^2} 1/i < 2 \log Q + 1$ . Hence  $c < 3d \log Q$ . It follows that

$$(56) \quad c < 3d \log Q < 3e^{\vartheta(Q)} \log Q < e^{3\vartheta(Q)/2} < P.$$

(Note: For  $Q = 2, 3$  a different argument is needed to show that  $c < P$  since  $3 \log Q > e^{\vartheta(Q)/2}$ . A trivial calculation suffices.)

Since  $0 < c < P$  it follows that  $P \nmid c$ . Since  $a/b = 1/P \cdot c/d$  and  $(a, b) = 1$ , we see that  $P \nmid a$  and  $P \mid b$ .

But by hypothesis  $\Sigma 1/n_i = \Sigma 1/m_i$ , thus

$$\frac{a}{b} = \sum_1^{k'} \frac{1}{n_i} - \sum_1^{l'} \frac{1}{m_i} = \sum_{i > l'} \frac{1}{m_i} - \sum_{i > k'} \frac{1}{n_i} = \frac{r}{s}$$

where we may take  $s = e^{\vartheta(P-1)}$ , since all the  $n_i, i > k'$ , and all the  $m_i, i > l'$ , have prime factors less than  $P$ . We deduce that  $P \nmid s$ ; but  $a/b = r/s$  and  $(a, b) = 1$  and  $P \mid b$ , thus  $P \mid s$ , a contradiction. Thus  $a/b = 0$ , and as noted before the equalities of (54) follow. But (54) implies  $n_i = m_i$  for  $i = 1, 2, \dots, k' = l'$ . Thus

$$\sum_{i=k'+1}^k \frac{1}{n_i} = \sum_{i=k'+1}^l \frac{1}{m_i}$$

and all prime factors are less than  $P$ . By induction  $k = l$  and  $n_i = m_i$  for  $i = k' + 1, k' + 2, \dots, k$ .

The lemma is established.

*Conclusion of the Proof of the Theorem.* From the lemma we see that every distinct subset of  $Q(N)$  yields a distinct value for  $\sum_1^N \epsilon_k/k$  by setting  $\epsilon_k = 1$  for members of the subset and  $\epsilon_k = 0$  otherwise. Thus  $S(N) \geq 2^{Q(N)}$ , as claimed.

The theorem is established.

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